

## DEMAT ACCOUNT OPENING FORM



# DYNAMIC EQUITIES PRIVATE LIMITED

DEPOSITORY	NATIONAL SECURITIES DEPOSITORY LIMITED
DP ID	IN302017
CLIENT NAME	
CLIENT ID	

**INTENTIONALLY KEPT BLANK**



**Important Instructions:**

- A) Fields marked with "\*" are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please fill the form in English and in BLOCK letters.
- E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G) List of two character ISO 3166 country codes is available at the end.
- H) Please read section wise detailed guidelines / instructions at the end.
- I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

**For office use only** Application Type\*  New  Update  
 (To be filled by financial institution) KYC Number  (Mandatory for KYC update request)

**1. ENTITY DETAILS\*** (Please refer instruction **A** at the end)

Name\*

Entity Constitution Type\*  Others (Specify)  (Please refer instruction B at the end)

Date of Incorporation / Formation\* -- Date of Commencement of Business --

Place of Incorporation / Formation\*  Country of Incorporation / Formation\*  TIN or Equivalent Issuing Country

PAN \*   Form 60 furnished

TIN / GST Registration Number

**2. PROOF OF IDENTITY (PoI)\*** (Please refer instruction **B** at the end)

Officially valid document(s) in respect of person authorised to transact

Certificate of Incorporation / Formation   Registration Certificate  Regn Certificate No.

Memorandum and Articles of Association  Partnership Deed  Trust Deed

Resolution of Board / Managing Committee  Power of attorney granted to its manager, officers or employees to transact on its behalf

Activity Proof - 1 (For Sole Proprietorship Only)  Activity Proof - 2 (For Sole Proprietorship Only)

**3. ADDRESS\*** (Please see instruction **C** at the end)

**3.1 Registered Office Address / Place of Business\***

Proof of Address\*  Certificate of Incorporation / Formation  Registration Certificate  Other Document

Line 1\*

Line 2

Line 3  City / Town / Village\*

District\*  PIN / Post Code\*  State / U.T Code\*  ISO 3166 Country Code\*

**3.2 Local Address in India (If different from Above)\***

Line 1\*

Line 2

Line 3  City / Town / Village\*

District\*  PIN / Post Code\*  State / U.T Code\*  ISO 3166 Country Code\*

**4. CONTACT DETAILS** (All communications will be sent to Mobile number/ Email-ID provided" may be used) (Please refer instruction **D** at the end)

Tel. (Off) - FAX -

Mobile - Email ID

Mobile - Email ID

**5. NUMBER OF RELATED PERSONS**  (Please refer instruction **E** at the end)



**CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person**



**Important Instructions:**

- A) Fields marked with "\*" are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please fill the form in English and in BLOCK letters.
- E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G) List of two character ISO 3166 country codes is available at the end.
- H) Please read section wise detailed guidelines / instructions at the end.
- I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated

For office use only Application Type\*  New  Update  Delete  
 (To be filled by financial institution) KYC Number  (Mandatory for KYC update and delete request)

**1. DETAILS OF RELATED PERSON\*** (Please refer instruction E at the end)

- Addition of Related Person  Deletion of Related Person  Update Related Person Details

KYC Number of Related Person (if available\*)  If KYC number is available, only 'Related Person Type' & 'Name' is mandatory

- Related Person Type\***  Director  Promoter  Karta  Trustee  Partner  Court Appointment Official  Proprietor  
 Beneficiary  Authorised Signatory  Beneficial Owner  Power of Attorney Holder  Other (Please specify)

DIN (Director Identification Number)  (Mandatory if Related Person Type is Director)

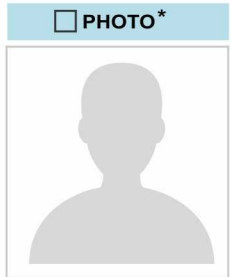
**1.1 PERSONAL DETAILS** (Please refer instruction E at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Nationality*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/> )		
PAN*	<input type="text"/>	<input type="checkbox"/> Form 60 furnished		

**1.2 PROOF OF IDENTITY AND ADDRESS\*** (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B-Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E- National Population Register Letter
- F - Proof of Possession of Aadhaar
- II  E-KYC Authentication
- III  Offline verification of Aadhaar



**Address**

Line 1\*   
 Line 2   
 Line 3  City / Town / Village\*   
 District\*  Pin / Post Code\*  State / U.T Code\*  ISO 3166 Country Code\*

**1.3. CURRENT ADDRESS DETAILS** (Please refer instruction E and the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B-Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E- National Population Register Letter
- F - Proof of Possession of Aadhaar
- II  E-KYC Authentication
- II  Offline verification of Aadhaar

- IV  Deemed PoA
- V  Self Declaration

**Address**

Line 1\*

Line 2

Line 3  City / Town / Village\*

District\*  Pin / Post Code\*  State / U.T Code\*  ISO 3166 Country Code\*

**1. 4 CONTACT DETAILS** (All communication will be sent on provided mobile no. / Email-ID) (Please refer instruction **D** at the end)

Tel. (Off) - Tel. (Res) - Mobile -

Email ID

**2. APPLICANT DECLARATION**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date :    -   -     Place:

Signature /Thumb Impression of Applicant

**3. ATTESTATION / FOR OFFICE USE ONLY**

- Documents Received**  Certified Copies  E-KYC data received from UIDAI  Data received from Offline verification
- Digital KYC process  Equivalent e-document

**KYC VERIFICATION CARRIED OUT BY**

Date --

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

**INSTITUTION DETAILS**

Name

Code

[Employee Signature]

[Institution Stamp]

## INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. PROOF OF IDENTITY (POI):-

List of documents admissible as

Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D)
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): - List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.

2. Utility bill which is not more than 2 months old of any Service Provider (electricity, telephone, post-paid mobile phone, piped gas, water bill)
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account Power of Attorney given by FII/sub account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### D. EXEMPTIONS/CLARIFICATIONS TO PAN

(\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with- IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

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New  Change Request

## KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Non-Individual)

Please fill in ENGLISH and in BLOCK LETTERS

### A. IDENTITY DETAILS

**1. Name of Applicant**

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**2a. Date of Incorporation** [D][D]-[M][M]-[Y][Y][Y][Y]      **2b. Place of Incorporation** \_\_\_\_\_

**3. Date of commencement of business** [D][D]-[M][M]-[Y][Y][Y][Y]

**4. PAN** \_\_\_\_\_      **4b. Registration No. (e.g. CIN)** \_\_\_\_\_

**5. Status (Please tick any one)**

- |  |  |  |  |  |
|--|--|--|--|--|
| <input type="checkbox"/> Private Ltd. Co.  | <input type="checkbox"/> Public Ltd. Co.               | <input type="checkbox"/> Body Corporate  | <input type="checkbox"/> Partnership         | <input type="checkbox"/> Trust                 |
| <input type="checkbox"/> Charities         | <input type="checkbox"/> NGO's                         | <input type="checkbox"/> FI              | <input type="checkbox"/> FII                 | <input type="checkbox"/> HUF                   |
| <input type="checkbox"/> AOP               | <input type="checkbox"/> Bank                          | <input type="checkbox"/> Government Body | <input type="checkbox"/> Non-Government Org. | <input type="checkbox"/> Defence Establishment |
| <input type="checkbox"/> BOI               | <input type="checkbox"/> Society                       | <input type="checkbox"/> LLP             | <input type="checkbox"/> FPI- Category I     | <input type="checkbox"/> FPI- Category II      |
| <input type="checkbox"/> FPI- Category III | <input type="checkbox"/> Others (Please specify _____) |  |  |  |

### B. ADDRESS DETAILS

**1. Address for Correspondence**

City/Town/Village	Country	PIN Code
State		

**2. Specify the Proof of Address submitted for Correspondence Address** \_\_\_\_\_

**3. Contact Details**

Tel. (Off) (ISD)(STD) _____	Tel. (Res) (ISD)(STD) _____
Fax No. _____	Mobile No. _____
E-mail ID _____	

**4. Registered Address (if different from above)**

City/Town/Village	Country	PIN Code
State		

### C. OTHER DETAILS (if space is insufficient, enclose these details separately (illustrative format enclosed))

**1. Name, PAN, Resident address and Photographs of Promoters / Partners / Karta / Trustee and Wholetime directors**

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**2a. DIN of Wholetime Director** \_\_\_\_\_

**2b. Aadhar Number of Promoters / Partners / Karta** \_\_\_\_\_

### D. DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be held liable for it.

Sign1

Name & Signature of the Authorised Signatory(ies) \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

### FOR OFFICE USE ONLY

**In Person Verification (IPV) Details:**

Name of the person who has done the IPV	Employee ID	Seal/Stamp of the Intermediary
Designation		
Name of Organization <b>Dynamic Equities Pvt. Ltd.</b>		
Date of IPV [D][D]-[M][M]-[Y][Y][Y][Y]		
<input type="checkbox"/> Originals Verified and Self attested document copies received		_____ Signature of the Person who has done the IPV

Date: ____ / ____ / ____	Name of the Authorised Signatory	Signature of the Authorised Signatory
--------------------------	----------------------------------	---------------------------------------

1 Name \_\_\_\_\_

2 Relationship with Applicant (i.e. Promoters, Whole time Director etc.) \_\_\_\_\_

3a PAN \_\_\_\_\_ 3b DIN \_\_\_\_\_ 3c Aadhaar \_\_\_\_\_

4 Residential / Registered Address

City/Town/Village \_\_\_\_\_ PIN Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

5  Politically Exposed Person (PEP)  Related to Politically Exposed Person (PEP)  None

PHOTOGRAPH  
Please affix your recent passport size photograph & sign across it

1 Name \_\_\_\_\_

2 Relationship with Applicant (i.e. Promoters, Whole time Director etc.) \_\_\_\_\_

3a PAN \_\_\_\_\_ 3b DIN \_\_\_\_\_ 3c Aadhaar \_\_\_\_\_

4 Residential / Registered Address

City/Town/Village \_\_\_\_\_ PIN Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

5  Politically Exposed Person (PEP)  Related to Politically Exposed Person (PEP)  None

PHOTOGRAPH  
Please affix your recent passport size photograph & sign across it

1 Name \_\_\_\_\_

2 Relationship with Applicant (i.e. Promoters, Whole time Director etc.) \_\_\_\_\_

3a PAN \_\_\_\_\_ 3b DIN \_\_\_\_\_ 3c Aadhaar \_\_\_\_\_

4 Residential / Registered Address

City/Town/Village \_\_\_\_\_ PIN Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

5  Politically Exposed Person (PEP)  Related to Politically Exposed Person (PEP)  None

PHOTOGRAPH  
Please affix your recent passport size photograph & sign across it

1 Name \_\_\_\_\_

2 Relationship with Applicant (i.e. Promoters, Whole time Director etc.) \_\_\_\_\_

3a PAN \_\_\_\_\_ 3b DIN \_\_\_\_\_ 3c Aadhaar \_\_\_\_\_

4 Residential / Registered Address

City/Town/Village \_\_\_\_\_ PIN Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

5  Politically Exposed Person (PEP)  Related to Politically Exposed Person (PEP)  None

PHOTOGRAPH  
Please affix your recent passport size photograph & sign across it

1 Name \_\_\_\_\_

2 Relationship with Applicant (i.e. Promoters, Whole time Director etc.) \_\_\_\_\_

3a PAN \_\_\_\_\_ 3b DIN \_\_\_\_\_ 3c Aadhaar \_\_\_\_\_

4 Residential / Registered Address

City/Town/Village \_\_\_\_\_ PIN Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

5  Politically Exposed Person (PEP)  Related to Politically Exposed Person (PEP)  None

PHOTOGRAPH  
Please affix your recent passport size photograph & sign across it

 Sign2  
Name & Signature of the Authorised Signatory(ies) \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

## INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a regional language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines) copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. PROOF OF IDENTITY (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. PROOF OF ADDRESS (POA): - List of documents admissible as Proof of Address:

(\*Documents having an expiry date should be valid on the date of submission.)

### F. IN CASE OF NON-INDIVIDUALS, ADDITIONAL DOCUMENTS TO BE OBTAINED FROM NON-INDIVIDUALS, OVER & ABOVE THE POI & POA, AS MENTIONED BELOW:

#### CORPORATE

- Copy of the balance sheets for the last 2 financial years or for the relevant applicable period (to be submitted every year).
- Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
- Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
- Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.
- Copies of the Memorandum and Articles of Association and certificate of incorporation.
- Copy of the Board Resolution for investment in securities market.
- Authorised signatories list with specimen signatures

#### PARTNERSHIP FIRM

- Copy of the balance sheets for the last 2 financial years or as applicable (to be submitted every year).
- Certificate of registration (for registered partnership firms only).
- Copy of partnership deed.
- Authorised signatories list with specimen signatures.
- Photograph, POI, POA, PAN of Partners.

#### TRUST

- Copy of the balance sheets for the last 2 financial years or as applicable (to be submitted every year).
- Certificate of registration (for registered trust only).
- Copy of Trust deed.
- List of trustees certified by managing trustees/CA.
- Photograph, POI, POA, PAN of Trustees.

1. Passport/Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/ Unique Identification Number (UID) (Aadhaar).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook — Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving a new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/Sub account, Power of Attorney given by FII/Sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### D. EXEMPTIONS/CLARIFICATION TO PAN

(\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50,000/-p.a.
5. In case of Institutional clients, namely, FIIs, MFs, VCFs, FVCs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under Section 4A of the Companies Act, 1956. Custodians shall verify the PAN card details with the original PAN Card and provide duly certified copies of such verified PAN details to the intermediary.

### E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

#### HUF

- PAN of HUF.
- Deed of declaration of HUF/ List of coparceners.
- Bank pass-book/bank statement in the name of HUF.
- Photograph, POI, POA, PAN of Karta.

#### UNINCORPORATED ASSOCIATION OR A BODY OF INDIVIDUAL

- Proof of Existence / Constitution document
- Resolution of the managing body & Power of Attorney granted to transact business on its behalf.
- Authorised signatories list with specimen signatures.

#### BANK / INSTITUTIONAL INVESTORS

- Copy of the constitution / registration or annual report / balance sheet for last 2 Financial years
- Authorised signatories list specimen signatures

#### FOREIGN INSTITUTIONAL INVESTORS (FII)

- Copy of SEBI registration certificate
- Authorised signatories list with specimen signatures

#### ARMY/GOVERNMENT BODIES

- Self-certification on letterhead.
- Authorized signatories list with specimen signatures.

#### REGISTERED SOCIETY

- Copy of Registration Certificate under Societies Registration Act.
- List of Managing Committee members.
- Committee resolution for persons authorised to act as authorised signatories with specimen signatures.
- True copy of Society Rules and Bye Laws certified by the Chairman / Secretary.

**INTENTIONALLY KEPT BLANK**

# ACCOUNT OPENING FORM (FOR NON-INDIVIDUAL)

## DYNAMIC EQUITIES PVT. LTD.

Technopolis, 14th Floor, Plot No. BP4, Sector V, Salt Lake, Kolkata-700 091, W.B., India

DP ID	I	N	3	0	2	0	1	7	Client ID									
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

We request you to open a Depository Account in our name as per the following details:  
(Please fill all the details in CAPITAL LETTERS only)

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

### A. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole / First Holder	Second Holder	Third Holder
Name			
PAN			

### B. TYPE OF ACCOUNT ( Please tick whichever is applicable)

<input type="checkbox"/> Body Corporate	<input type="checkbox"/> FI	<input type="checkbox"/> FII	<input type="checkbox"/> Qualified Foreign Investor
<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> Trust	<input type="checkbox"/> Bank	
<input type="checkbox"/> CM	<input type="checkbox"/> HUF	<input type="checkbox"/> Others (Please specify) _____	

**Sub Type, if applicable**     Client Collateral Account     Client Margin Trading Securities Account

**C. For Partnership Firm, Unregistered Trust, Association of Person (AOP) etc., although the Account is opened in the name of the Partner(s), Trustee(s) etc., the name and PAN of the Partnership Firm, Unregistered Trust, Associates of Person (AOP) etc., should be mentioned below:**

Name		PAN												
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### D. INCOME DETAILS (Please specify)

Income Range per annum in Rs	<input type="checkbox"/> Below ₹1 Lac	<input type="checkbox"/> ₹1-5 Lac	<input type="checkbox"/> ₹5-10 Lac	<input type="checkbox"/> ₹10-25 Lac	<input type="checkbox"/> ₹25 Lac - ₹1 Crore	<input type="checkbox"/> Above ₹1 Crore			
and									
Net-worth (Networth should not be older than 1 year)									
Amount (Rs.) _____	As on (Date)	D	D	M	M	Y	Y	Y	Y

### E. In case of FIIs / Others (as may be applicable)

RBI Approval Reference Number		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
SEBI Registration Number (for FIIs)										

### F. BANK DETAILS

Bank Account Type	<input type="checkbox"/> Savings Account	<input type="checkbox"/> Current Account	<input type="checkbox"/> Others (Please specify) _____
Bank Account Number			
Bank Name			
Branch Address			
	City/Town/Village		PIN Code
	State		Country
MICR Code			
IFSC Code			

**G. Please tick, if applicable, for any of your Authorized Signatories / Promoters / Partners / Karta / Trustees / Wholetime Directors**

Politically Exposed Person (PEP)       Related to Politically Exposed Person (PEP)       None

**H. Clearing Member Details ( to be filled up by Clearing Member only)**

1	Name of Stock Exchange	
2	Name of Clearing Corporation / Clearing House	
3	Clearing Member ID	
4	SEBI Registration Number	
5	Trade Name	
6	CM-BP-ID ( to be filled up by Participant)	

**I. Standing Instructions**

1.	We authorise you to receive credits automatically into our Account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	<b>SMS Alert facility:</b> [Mandatory if you are giving Power of Attorney (PoA), Ensure that the mobile number is provided in the KYC Application Form]		
	<b>Sr.No.</b>	<b>Holder</b>	<b>Yes</b> <b>No</b>
	1.	Sole / First Holder	<input type="checkbox"/> <input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/> <input type="checkbox"/>
	3.	Third Holder	<input type="checkbox"/> <input type="checkbox"/>
4.	Mode of receiving Statement of Account & *Rights and Obligation* Document [Tick any one]	<input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form [Read Note 3 in Page No. 15 and ensure that Email Id is provided in KYC Application Form]	


**J. List of Family Members (Separate Annexure may be used in case number of members is higher)**

Sr. No.	Name of Coparcener / Member	Gender	Date of Birth	Relation with Karta	Whether Coparcener / Member (Please specify)

**DECLARATION**

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I we are aware that we may be held laible for it. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant"

**Authorised Signatories** (Enclosed a Board of Resolution for Authorised Signatories. In case of HUF details of Karta to be given)

	Name	Signature(s)
<b>Sole / First Holder</b>	First Signatory	 Sign3
	Second Signatory	
	Third Signatory	
<b>Other Holder</b>	Second Holder	
	Third Holder	

**Mode of Operation for Sole / First Holder** (In case of Joint Holdings, all the Holders must sign. In case HUF this is not applicable)

Any one singly       As per Resolution       Jointly by       Others (please specify) \_\_\_\_\_

Any Correction / Alteration / Cancellation requires Holder(s) Authentication


**Notes:**

1. In case of additional signatures, separate annexure's should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
  - I. Client must ensure the confidentiality of the password of the Email Account.
  - II. Client must promptly inform the Participant if Email address has changed.
  - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. Strike off whichever is not applicable.

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**TERMS & CONDITIONS FOR RECEIVING ACCOUNT OPENING INTIMATION, CLIENT MASTER REPORT, COPY OF ALL EXECUTED AGREEMENTS, CHARGE STRUCTURE, BILLS, HOLDINGS/TRANSACTION STATEMENTS AND OTHER INTIMATIONS/NOTICES BY EMAIL OR ON WEBSITE**

- i) The Client agrees to receive the Account Opening Intimation, Client Master Report Copy of all Executed-Agreements, Charge-Structure, Bills, Holding Transaction Statements & Other Intimations/Notices only in electronic form (Email/Web-based) from the Depository Participant (DP). In case when the DP is not able to provide above mentioned documents to the Client in electronic form (Email/Web-based) due to any unforeseen problems, the DP shall ensure that the same reaches to the Client in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of NSDL.
- ii) The Client will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of Internet/Email/Web-based Account.
- iii) The Client is aware that all the documents (mentioned in Point No.i) may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised,
- iv) As all the documents (mentioned in Point No. i) are sent through Email, the Client shall immediately inform the DP about change in Email address, if any.
- v) The DP and the Client shall have the right to terminate this arrangement after giving a written notice of at least 10 days in advance to the other party.

<b>Signed and Delivered by:</b>		
<b>For and on behalf of the Client</b>		<b>For and on behalf of Dynamic Equities Pvt. Ltd.- DP</b>
Signature of First Holder	 Sign4	
Signature of Second Holder		
Signature of Third Holder		
<b>Witness Details:</b>		
<b>For and on behalf of the Client</b>		<b>For and on behalf of Dynamic Equities Pvt. Ltd. - DP</b>
Name		Name
Address		Address: Technopolis, 14th Floor, Plot No. BP 4, Sector V, Salt Lake, Kolkata 700 091
Signature		Signature

Any Correction / Alteration / Cancellation requires Holder(s) Authentication

## **Demat Debit and Pledge Instruction (DDPI)**

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE \_\_\_\_\_ residing at

\_\_\_\_\_ /  
hold a Beneficiary Account No \_\_\_\_\_ (BO-ID) through Dynamic Equities Pvt. Ltd. with National Securities Depositories Ltd. (bearing DP ID IN302017).

**And Whereas** I am/we are an investor under client code \_\_\_\_\_ engaged in buying and selling of securities through Dynamic Equities Pvt. Ltd. a Member of NSE and BSE and MCX having SEBI Registration. No. INZ000193533.

**NOW KNOW I/WE ALL AND THESE PRESENTS WITNESSETH THAT I/WE THE ABOVE NAME DO HEREBY** INSTRUCT Dynamic Equities Pvt. Ltd. an Indian Company registered under the Companies Act, 1956, having its Regd. Office at Technopolis 14<sup>th</sup> Floor, Plot BP-4, Sector-V, Salt Lake, Kolkata - 700 091, W.B. to exercise and perform the following acts, deeds and things as mentioned below on my/our behalf:

S. No.	Purpose	Signature of Client
1	Transfer of securities held in my / our beneficial owner account towards Stock Exchange related to deliveries / settlement obligations arising out of trades executed by me / us on the Stock Exchange through Dynamic Equities Pvt. Ltd.	✓ 1 <sup>st</sup> Holder –
		✓ 2 <sup>nd</sup> Holder –
		✓ 3 <sup>rd</sup> Holder –
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements in connection with the trades executed by me / us on the Stock Exchange.	✓ 1 <sup>st</sup> Holder –
		✓ 2 <sup>nd</sup> Holder –
		✓ 3 <sup>rd</sup> Holder –
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	✓ 1 <sup>st</sup> Holder –
		✓ 2 <sup>nd</sup> Holder –
		✓ 3 <sup>rd</sup> Holder –
4	Tendering shares in open offers through Stock Exchange platforms	✓ 1 <sup>st</sup> Holder –
		✓ 2 <sup>nd</sup> Holder
		✓ 3 <sup>rd</sup> Holder –

The Demat account details of Member where shares can be transferred for above purpose are mentioned below:

Account Name	Depository	NSDL DP ID	NSDL Client ID	CDSL DP ID	CDSL Client ID
NSE Pool	NSDL	IN302017	10000023 (CM BP-IN558615)	12020600	01053052
BSE Pool	NSDL	IN302017	10002053 (CM BP- IN609896)	-	-
TM CM Client Securities Margin Pledge A/c	NSDL	IN302017	10194046	-	-
NSE CLEARING LIMITED (POOL)			IN565576	-	-
INDIAN CLEARING CORPORATION LIMITED (ICCL)			IN620031	--	--
PMS POOL	NSDL	IN303270	11010884 (CM BP-IN634209)		

SIGNATURE(s)		
1 <sup>st</sup> /Sole Holder	Second Holder	Third Holder

IN WITNESS WHEREOF, Dynamic Equities Pvt. Ltd. has caused this Demat Debit and Pledge Instruction to be executed on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_, at KOLKATA.

Witness 1 (On behalf of Client)	Name:	Address:	Signature of Witness
Witness 2 (For and on behalf of Dynamic Equities Pvt. Ltd. )	Name:	Address:	Signature of Witness

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## TARIFF SHEET FOR NON-INDIVIDUAL

Dear Sir/Madam,

I/We hereby agree to pay the Schedule of Charges for Depository Services and abide by the rules & regulations as laid down by the DP (DEPL) as mentioned below:

TYPE		POA 1st A/c	POA 2nd A/c / Non-POA A/c
Advance Amount	(For receiving Statement in Electronic Form)	For Corporate - ₹ 1062/- Other than Corporate - ₹ 472/-	For Corporate - ₹ 1180/- Other than Corporate - ₹ 590/-
	(For receiving Statement in Physical Form)	For Corporate - ₹ 1298/- Other than Corporate - ₹ 708/-	For Corporate - ₹ 1416/- Other than Corporate - ₹ 826/-
Account Maintenance Charges Per Annum	(For receiving Statement in Electronic Form)	For Corporate - ₹ 900/- (Incl. NSDL Charges of ₹ 500/-) Other than Corporate - ₹ 400/-	For Corporate - ₹ 1000/- (Incl. NSDL Charges of ₹ 500/-) Other than Corporate - ₹ 500/-
	(For receiving Statement in Physical Form)	For Corporate - ₹ 1100/- (Incl. NSDL Charges of ₹ 500/-) Other than Corporate - ₹ 600/-	For Corporate - ₹ 1200/- (Incl. NSDL Charges of ₹ 500/-) Other than Corporate - ₹ 700/-
Transaction (Trxn.) Charges Sell: • Market (Mkt.) • Off Market (Off-Mkt.)		Mkt. Trxn. through CM-DEPL ₹ 9/- (Debited in Contract Note) Mkt. Trxn. through Other CM ₹ 20/- Off-Mkt. Trxn. ₹ 20/-	<b>For POA 2nd A/c</b> Mkt. Trxn. through CM-DEPL ₹ 9/- (Debited in Contract Note) Mkt. Trxn. through Other CM ₹ 20/- Off-Mkt. Trxn. ₹ 20/- <b>For Non-POA A/c</b> Min. ₹ 20/- per Trxn. or 0.02% on value or the Trxn. whichever is higher
Transaction (Trxn.) Charges Buy		Nil	Nil
Dematerialisation Charges		Min. ₹ 50/- per Request upto 16 Cert. thereafter ₹ 3/- per Cert.	Min. ₹ 50/- per Request upto 16 Cert. thereafter ₹ 3/- per Cert.
Rematerialisation Charges		₹ 20/- per Cert. or ₹ 20/- for every hundred Securities or part thereof, whichever is higher	₹ 20/- per Cert. or ₹ 20/- for every hundred Securities or part thereof, whichever is higher
Pledge-Creation / Closure		Min. ₹ 50/- per Trxn. or 0.02% on value of the Trxn. whichever is higher	Min. ₹ 50/- per Trxn. or 0.02% on value of the Trxn. whichever is higher
Demat Rejection		Min. ₹ 30/- per ISIN	Min. ₹ 30/- per ISIN
Extra Statement Charges		Min. ₹ 50/- per Request upto 10 Pages thereafter ₹ 5/- per Page	Min. ₹ 50/- per Request upto 10 Pages thereafter ₹ 5/- per Page
Lost D.I.B. Charges		₹ 50/- per Request	₹ 50/- per Request
Postage Charges		₹ 50/- per Request	₹ 50/- per Request

NSDL	I	N	3	0	2	0	1	7							
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Sign6 Sole / First Holder	Second Holder	Third Holder
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**NOTE:**

- The above Charges are subject to NSDL Charges and will be revised on revision by DEPL-DP/NSDL from time to time.
- The above Charges are exclusive of GST (latest @18%) and other levies levied by Government Bodies/Statutory Authority from time to time.

Any Correction / Alteration / Cancellation requires Holder(s) Authentication

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## Option for issuance of DIS Booklet alongwith Account Opening

(to be filled by persons seeking to open a Depository Account who have given Power of Attorney to operate the Depository Account to a Stock Broker / Participant / Portfolio Manager and do not intend to open a Basic Service Demat Account)

<b>Dynamic Equities Pvt. Ltd.</b> Technopolis, 14th Floor, Plot No. BP4, Sector V, Salt Lake, Kolkata-700 091		DP ID	I	N	3	0	2	0	1	7
Name(s) of Account Holder (s)	Sole/First Holder									
	Second Holder									
	Third Holder									

### OPTION for issue of Booklet (Please tick any one)

**Option 1**

I / We wish to receive the Delivery Instruction Slip (DIS) Booklet with Account Opening.

**Option 2**

I / We do not wish to receive the DIS Booklet with Account Opening. However, the DIS Booklet should be issued to me/us immediately on my/our request at any later date.

Beneficial Owner	Name	Signature with date
Sole / First Holder		 Sign7
Second Holder		
Third Holder		

Any Correction / Alteration / Cancellation requires Holder(s) Authentication

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----- (Please Tear Here) -----

**Dynamic Equities Pvt. Ltd.** (DP ID - IN302017)

Technopolis, 14th Floor, Plot No. BP4, Sector V, Salt Lake, Kolkata-700 091

Received the application from Mr. / Ms. / Messrs \_\_\_\_\_ as the Sole/First Holder alongwith \_\_\_\_\_ and \_\_\_\_\_ as the Second and Third Holders respectively for opening of a Depository Account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date	D	D	M	M	Y	Y	Y	Y
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\_\_\_\_\_  
Participant Stamp & Signature

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VALUE STOCKS  
for Stocks



MFDIRECT  
for Mutual Funds

dp@valuestocks.in  
compliance@valuestocks.in

NSE/BSE/MCX/NCDEX/NSDL/SEBI/AMFI/FPSB

**Registered Office:**

Technopolis, 14th Floor, Plot No. BP4  
Sector V, Salt Lake, Kolkata - 700091  
Phone: +91 33 40099400, 30010000  
Mobile: +91 8336087004

**Branch Office:**

Unit No. 306, 3rd Floor,  
Western Edge-1, Western Express Highway  
Borivali (East), Mumbai - 400066  
Tel No: (022) 28545333 / 28545986