



For Office Use Only											
Client Code	:		Active Date:								
Client ID	:		Introducer Name								
Client Name	:										


CLIENT REGISTRATION FORM

 Trading Account

(Individual)

DYNAMIC EQUITIES PRIVATE LIMITED



DYNAMIC EQUITIES PRIVATE LIMITED		
Trading & Clearing Member Registered & Correspondence Address: Technopolis, 14th Floor, Plot No. BP 4, Sector V, Salt Lake, Kolkata - 700091 Phone : 033 3001 0000 www.dynamiclevels.com		
		
OUR SEBI REGISTRATION NO. INZ000193533 (NSE, BSE & MSEI)	Our Clearing Member in Derivatives Segment GLOBE CAPITAL MARKET LTD. 609, Ansal Bhawan, 16 K.G. Marg Connaught Place, New Delhi - 110001 Phone : 011 3041 2345, 011 4041 2345 SEBI REGISTRATION NO. of GLOBE CAPITAL MARKET LTD. INZ000177137	
Compliance Officer Name: Ms. Dolly Dhavle	CEO Name: Mr. Shailesh Saraf	
Tel: 033 3001 0000	Tel: 033 3001 0000	
Email Id: dolly@dynamiclevels.com	Email Id: shailesh@dynamiclevels.com	
For any grievance/dispute, please contact Dynamic Equities Pvt. Ltd at the above address or Email id- compliance@dynamiclevels.com and Phone no. +91-9339144976. In case not satisfied with the response, please contact the concerned exchange(s) at the below mentioned Email Id, Phone No./s.		
Exchange/Depository Name	Email Id	Phone No.
NSE	ignse@nse.co.in	Tel No: 022 2659 8100
BSE	isc.kolkata@bseindia.com is@bseindia.com	Tel No: 022 2272 1233
MSEI	investorcomplaints@msei.in	Tel No: 022 6112 9000
NSDL	relations@nsdl.co.in	Tel No: 022 2499 4200

Important Instruction:

The Applicant has to sign wherever sign mark F, S, T is mentioned (F- First Holder, S- Second Holder, T- Third Holder). In case of any correction/cancellation, please provide counter signature on the left side of the page.

Disclosure of Proprietary Trading pursuant to SEBI Circular No. : SEBI/MRD/SE/Cir-42/2003 dated 19th November 2003.

We Dynamic Equities Pvt. Ltd are also engaged in Proprietary Trading apart from Client Business.



Client's Signature

INVESTOR EDUCATION

PREVENTION OF UNAUTHORISED TRADES

In order to prevent any unauthorized trades in your account, please take note the following important points:

1. **You should communicate to your Broker the Order instructions clearly.** The order instructions should clearly indicate the security name, whether the order is for buy or sell, quantity and other relevant instructions. This reduces chances of miscommunication between the client and the Broker.
2. **Insist on a Contract Note for each transaction** and verify details in the contract note, immediately on receipt. The trades shown in the Contract Note should be as per your order instruction. Contract Note is a confirmation of trades done on a particular day on behalf of the client. It is a prerequisite for filing a complaint or arbitration proceeding against the broker in case of a dispute.
3. Update your mobile numbers and your email IDs with your stock broker. **You shall receive information of your transactions directly from Exchange on your mobile and email at the end of the day.** In this manner you can prevent unauthorized transactions in your account.
4. You may also, **crosscheck details of your trade available with the details on the exchange's website.** The data on trades would be available on T+1 day in NSE website. At any given point in time 10 trading days' data would be available for verification. Visit www.nseindia.com _Domestic Investor_Verify your trades.

INDEX

Sr. No.	Name of the Document	Brief Significance of the Document	Page No
(To be filled by Client and returned to Dynamic Equities Pvt. Ltd)		BOOKLET - 1	
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3.	Tariff sheet	Document detailing Tariff charges levied on the Client	17-18
B. VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
4.	Authorisation for Running Account (Annexure - 1)	As per the Regulations, the settlement for Funds/ Securities is to be done within one working day from the date of Exchange payout. However, for operational convenience you may authorise us to maintain a running account and to retain the above unless specifically requested.	13
5.	Authorisation and Consent for Electronic contract notes and receipt of statement of account electronically (Annexure - 2)	Authorisation by the Client to the Member for issuance of electronic contract notes and other statements electronically	
6.	Authorisation of Adjustments in Different Segment and Others (Annexure - 3)	The Annexure narrates the Authorisation of Adjustment between different segments of various Stock Exchanges	14
7.	Power of Attorney	Power of Attorney to broker to facilitate Payin of securities and other authorizations	15-16
(To be Read, understood and retained by the client for future reference)		BOOKLET - 2	
C. MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
8.	Rights and Obligations	Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	4-7
9.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	8-10
10.	Guidance note	Do's and don'ts for Trading on Exchange, for the education of the investors.	11



Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only Application Type* New Update
 (To be filled by financial institution) KYC Number (Mandatory for KYC update request)
 Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector)	
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)	
	<input type="checkbox"/> B-Business			
	<input type="checkbox"/> X- Not Categorised			

PHOTO

Signature / Thumb Impression

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)
 ISO 3166 Country Code of Jurisdiction of Residence*
 Tax Identification Number or equivalent (If issued by jurisdiction)*
 Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C- PAN Card	<input type="text"/>		
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E- UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhaar)		
	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Others	<input type="text"/> please specify	
	<input type="checkbox"/> Simplified Measures Account - Document Type code	<input type="text"/>			

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

TRADING ACCOUNT RELATED DETAILS (FOR INDIVIDUALS)

MANDATORY

DYNAMIC EQUITIES PVT. LTD.

Technopolis, 14th Floor, Plot BP 4 Sector V, Salt Lake, Kolkata - 700 091, WB, India

DP ID	I	N	3	0	2	0	1	7		CLIENT ID									
--------------	---	---	---	---	---	---	---	---	--	------------------	--	--	--	--	--	--	--	--	--

I/We request you to open a Depository Account and Trading Account in my/our name as per the following details : *(Please fill all the details in CAPITAL LETTERS only)*

Date																			
-------------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

A Details of Account Holder(s)

Account Holder (s)	Sole/First Holder	Second Holder	Third Holder
Name			
PAN			
Occupation <i>(Please Tick any one and give brief details)</i>	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service
	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business
	<input type="checkbox"/> Professional	<input type="checkbox"/> Others <i>(Pls specify_____)</i>	<input type="checkbox"/> Professional
Brief Details			

B For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the Account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:

a) Name		b) PAN																	
---------	--	--------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

C Type of Account *(Please tick whichever is applicable)*

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non Repatriable	<input type="checkbox"/> Margin
<input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Promoter	<input type="checkbox"/> Others <i>(Please Specify_____)</i>

D Gross Annual Income Details *(Please tick any one)*

Income Range per annum in Rs.:	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lac	<input type="checkbox"/> 10-25 Lac	<input type="checkbox"/> More than 25 Lacs
--------------------------------	--------------------------------------	----------------------------------	-----------------------------------	------------------------------------	--

Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (PEP)	<input type="checkbox"/> None
----------------------------	---	--	-------------------------------

In case of NRI's / Foreign Nationals

RBI Approval Reference No.		RBI Approval Date																	
----------------------------	--	-------------------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

G Bank Account(s) Details *(You can opt for more than one A/C. Default A/C shall be used for payout of funds)*

TYPE	DEFAULT A/C	ADDITIONAL A/C
Bank A/C Type:	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others NRE/NRO <i>(Please specify _____)</i>	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others NRE/NRO <i>(Please specify _____)</i>
Bank Account No.		
Bank Name		
Branch Address <i>(City, State, Country, Pincode)</i>		
MICR No.		
IFSC Code:		

H Depository Account Details

Depository Participant Name		<input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL
Beneficiary Name			
DP ID		Beneficiary ID (BO ID)	

DYNAMIC EQUITIES PVT. LTD.

Registered & Correspondence Address:
 Technopolis, 14th Floor, Plot No. BP 4,
 Sector V, Salt Lake, Kolkata -700 091
 Phone : +91 33 4009 9400/ +91 33 30010000
 Fax No. +91 33 2367 5288
 Email: compliance@dynamiclevels.com
www.dynamiccares.com
 SEBI PMS Regn. No: INP000003468
 Regn Date: 15 November 2009

**ACCOUNT OPENING FORM**Please fill this form in **ENGLISH** and in **BLOCK LETTERS**.*For Individuals***A. IDENTITY DETAILS**

Name of the Applicant:												PHOTOGRAP H Please affix your recent passport size photograph and sign across it									
Father's/ Spouse Name:																					
Gender:	<input type="checkbox"/> Male	<input type="checkbox"/> Female	Marital Status:	<input type="checkbox"/> Single	<input type="checkbox"/> Married																
Nationality:	Status:		<input type="checkbox"/> Resident Indian	<input type="checkbox"/> Non Resident																	
Date of Birth:												PAN:									
Unique Identification Number (UID)/ Aadhaar, if any:																					
Please tick if applicable:		<input type="checkbox"/> Politically Exposed Person (PEP)			<input type="checkbox"/> Related to politically exposed person (RPEP)																

B. ADDRESS DETAILS -

Address for correspondence:

City/town/village: _____ Pin Code: _____ State: _____ Country: _____

Tel. (Off.): _____ Tel. (Res.): _____ Mobile No.: _____

Fax: _____

Email Id: _____

Permanent Address: (if different from above or overseas address, mandatory for Non –Resident Applicant)

City/town/village	Pin Code	State	Country:
C. BANK ACCOUNT		PRIMARY	SECONDARY
Bank Name			
Branch Name			
Bank Address			
Bank Account Number			
MICR Code			
IFSC Code			
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (In case of NRI/NRE/NRO _____)		<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (In case of NRI/NRE/NRO _____)

I Trading Preferences - Stock Exchanges and Segments on which you wish to trade (*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client)									
Exchange	Cash			F & O			Currency		
NSE	<input checked="" type="checkbox"/>	_____		<input checked="" type="checkbox"/>	_____		<input checked="" type="checkbox"/>	_____	
		Signature of Client			Signature of Client			Signature of Client	
BSE	<input checked="" type="checkbox"/>	_____		NA			<input checked="" type="checkbox"/>	_____	
		Signature of Client						Signature of Client	
MCX SX	NA			NA			<input checked="" type="checkbox"/>	_____	
								Signature of Client	
<i># If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.</i>									
J Past Regulatory Actions									
Whether any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant in charge of dealing in securities during the last 3 years. (If Yes, Please give details and attach documentary Proof. Attach separate Annexures if required)									
<input type="checkbox"/> Yes <input type="checkbox"/> No									

K Dealings through sub-brokers and other stock brokers									
If client is dealing through the Sub-Broker, provide the following details									
Sub-Broker's Name				SEBI Registration number					
Registered office address									
Tel No.		Fax No.		Website					
Dealings with other Stock Broker/Sub-Broker <i>(in case dealing with multiple Stock Brokers/Sub-Brokers provide details of all)</i>									
Name of Stock Broker				Name of Sub-Broker, if any					
Client Code				Exchange					
Details of disputes/dues pending from/to such Stock Broker/Sub-Broker									
L Additional Details <i>(if not marked, default option would be "YES")</i>									
Whether you wish to receive Contract Note and other related documents/statements/reports in Electronic Mode. Specify your Email Id, if applicable -								<input type="checkbox"/> YES <input type="checkbox"/> NO	
Whether you wish to avail of the facility of Internet Trading/ Wireless Technology								<input type="checkbox"/> YES <input type="checkbox"/> NO	
Whether you wish to receive E-mail/ SMS alerts from exchanges/depositories <input type="checkbox"/> BOTH <input type="checkbox"/> SMS <input type="checkbox"/> EMAIL								<input type="checkbox"/> YES <input type="checkbox"/> NO	
Number of years of Investment/Trading Experience: Years									
Any other information									
M Introducer Details <i>(Optional)</i>									
Name of the Introducer									
Status of Introducer						Tel No.			
<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client						PAN			
<input type="checkbox"/> Others, please specify _____									
Address of Introducer									
City/town/village				Pin Code:					
State				Country:					
						Signature of the Introducer: <input checked="" type="checkbox"/> _____			

INTENTIONALLY LEFT BLANK

Name(s) of Holder(s)		Signature(s) of Holder													
Sole/First Holder (Mr./Ms.)															
Second Holder (Mr./Ms.)															
Third Holder (Mr./Ms.)															
Signature of Witness for Nomination															
Name of the Witness			Address				Signature of Witness								
							Date	D	D	M	M	Y	Y	Y	Y

Notes:

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.

Notes

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Instructions related to nomination, are as below:
 - I. The nomination can be made only by individuals holding beneficiary owner Accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the Account is held jointly all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner Account stands rescinded upon closure of the beneficiary owner Account. Similarly, the nomination in respect of the

- securities shall stand terminated upon transfer of the securities.
- V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner Accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner Account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
 4. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the Email Account.
 - II. Client must promptly inform the Participant if the Email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
 5. Strike off whichever is not applicable.

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM**I. IMPORTANT POINTS:**

1. Self-attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRIs, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

II. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

III. Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of

- Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/ Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

IV. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State

Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

V. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

PMLA Policy

"Prevention of Money Laundering Act (PMLA) defines money laundering offence and provides for the freezing, seizure and confiscation of the proceeds of crime. The Intermediaries may according to their requirements specify additional disclosures to be made by clients for the purpose of identifying, monitoring and reporting incidents of Money Laundering and Suspicious Transactions, if any, undertaken by the clients. We adhere to the PMLA Guidelines and periodic amendments issued by the Board and practice Due Diligence as and when required as per the Guidelines.



Signature of Client

- a) Refusal of orders for penny stocks:** The client is aware and agrees that the Stock Broker may refuse or restrict a client in placing order in certain securities depending on various conditions like volume /value /illiquid scrips/Z group. List of such scrips shall be reviewed on a periodical basis and updated on DEPL website.
- b) Setting up client's exposure limit:** The client is aware and agrees that the Member may set the Exposure limits on the basis of available capital which may comprise of Ledger and stocks after suitable haircut. The limits may be allowed on a multiplier basis depending on Market conditions. Client agrees that the said limit procedure is a dynamic process at the discretion of Member based on market condition and risk perceptions. On exceptional cases, broker may at its discretion change limit for a client depending on market condition.
- c) Applicable Brokerage Rate:** Brokerage will be charged within the limits prescribed by SEBI/Exchange. The Client will be charged brokerage as per the scheme selected by the Client. The brokerage charged will be exclusive of any levies/ charges levied by the Exchanges/ SEBI/ Regulatory Authority etc. In case there is any change in the rate of brokerage, the same will be intimated to the Client by giving sufficient notice. The member at his sole discretion and also depending upon the volume of Trading and clearance of dues by the client may alter the rate of brokerage from time to time.
- d) Imposition of penalty / delayed payment charges by either party:** The client should pay all amounts due on or before due date. As per our policy, in capital market segment, if the client fails to provide clear balance in our designated bank A/c towards his dues within T+4 days, a delay payment charge @18%p.a on the dues will be levied on the client. In the F&O segment, if the client fails to provide clear balances in our designated bank a/c towards his dues within T+1 day, a delay payment charge@18% p.a. on the dues will be levied. Hence the client is advised to make timely payment. Apart from imposition of charges we may not allow the client to take further Buy Position. Also, penalty shall be levied at actuals in case if it is levied/imposed by the exchange/ regulators to the client.
- Interest Free Deposits:**
DEPL shall not pay any interest or other benefit to the client for maintaining cash balances or depositing collateral margins with the company.
- e) Right to sell client's securities or close client's position, without giving notice to the client on account of nonpayment of dues:** The client shall ensure timely availability of funds/securities, for meeting his pay in obligation of funds and securities. Without prejudice to the Member's other rights, it shall be entitled to liquidate all or any of the client's position without giving notice to the client for nonpayment of margins or other amounts including the pay in obligation, outstanding debts etc and adjust the proceeds of such liquidation against the client's liabilities. Any losses and charges on liquidation shall be borne by the client.
- f) Shortages in obligations arising out of internal netting of trades:** In case of shortages in obligations arising out of internal netting of trades, short shares are bought in the market at market rate in the defaulter client code. Shares are delivered to the Buyer client on payout day. The ledger of the client who failed to deliver shares is debited for such market purchase.
For internal shortage the auction closeout policy is as follows:
The Ledger of the selling client will be temporarily debited by a value of Selling value + 20% of Selling Value on T+2 Working Days. Subsequently, the Ledger of the selling client will be credited with the same amount on T+2.
- Conditions under which a client may not be allowed to take further position:**
- Under the following conditions a client may not be allowed to take further position,
- 1) The client has a due /debit balance - Such clients are allowed to close out his open position but is not allowed to take any new position.
 - 2) The client has not been able to meet his pay-in obligation in cash by the schedule date of pay-in. The client has not met Market to Market loss in cash.
 - 3) The "open" positions in a contract exceed or are close to market wide cut-off limits. Or client's position is close to client-wise permissible "open" positions
 - 4) The client had defaulted in meeting cash or securities obligation leading to compulsory close out of the position.
 - 5) If the exchange is not allowing any further position in that script. Based on happening of the event company has the risk perception that further trading in the securities/ contracts may not be of interest of its clients and/or the market.
- g) Temporary suspending or closing a client's account at client's request:** Upon receipt of request of the client in writing, the client account can be suspended temporarily provided he has cleared all his dues. Member may also suspend the clients from Trading in the following circumstances:
- 1) Where the client has not cleared the naked or uncovered debits which are more than 7 days' old.
 - 2) Where the account is under investigation by any Regulatory Body.
 - 3) Physical documents are received back undelivered due to reasons like "no such person", "addressee" left,
 - 4) Non submission of updated KYC
 - 5) Client lodges a compliant either directly with company or through Exchange
 - 6) On notices received from Statutory, Government or Local authorities etc
- If the client wants to reopen the account, in future client has to complete the KYC requirements again.
- h) De-registering a client:** The Member shall be entitled to terminate the relationship with the client in any of the following circumstances:
- 1) Based on surveillance, if a client appears to be indulging in manipulative practices. Or if any action is taken by SEBI or any other Regulatory Authority or is part of list of debarred entities published by SEBI
 - 2) There is a reasonable ground to believe that the client is unable to clear its dues or admitted its inability to pay its debts.
 - 3) If the client violates any terms of the agreement
- i) Inactive client account:**
Client account will be considered as inactive if the client does not trade for period of 6 months. Classification will be done periodically and those clients who have not traded even a single time will be considered as inactive. Member at his sole discretion may place restriction on the trading or deactivate the trading. The client can make written request for reactivation of his / her account and submit documents for KYC updation.
- Client Acceptance of Policies and Procedures stated hereinabove:**
I/We have fully understood the same and do hereby sign the same These Policies and Procedures may be amended / changed unilaterally by the Member, provided the change is informed to me/us through any one or more means or methods including email on my designated email ID.



Signature of Client

**ANNEXURE - 1 (THIS DOCUMENT IS VOLUNTARY)
AUTHORITY LETTER FOR RUNNING ACCOUNT**

To,
Dynamic Equities Private Limited
Dear Sir,

1. I _____ hereby request you to maintain my account, both securities and funds, with you on a running account basis. This will facilitate me in my / our transaction through you. In view of the above, it would be proper for you to release the funds and securities due to me/us on my specific request, either written or oral.
2. The actual settlement of funds and securities shall be done by you, at least once in a Calendar Quarter/ Month except the funds given towards collaterals/margin inform of Bank Guarantee and/or Fixed Deposit Receipt. While settling the account, the broker shall send to the client a 'statement of accounts' containing an extract from the client ledger for funds and an extract from the register of securities displaying all receipts/ deliveries of funds/securities. The statement shall also explain the retention of funds/securities and the details of pledge, if any.
3. If I have outstanding obligations on the settlement date, the stockbroker may retain the requisite securities / funds towards such obligation and may retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. I understand and agree that no interest will be payable to me/us on the amounts or securities so retained with you, as per my running account.
4. For the purpose of Monthly/ Quarterly settlement of Funds & Securities, Dynamic Equities Pvt. Ltd. may retain an amount of up to Rs. 10,000 (Rupees Ten thousand only) (net amount across segments and stock exchanges) as per the exchange guidelines. This is only applicable if I have been regularly trading in that particular Quarter / month (as applicable).
5. I shall bring any dispute arising from the statement of account or settlement so made to the notice of the broker preferably within 7 working days from the date of receipt of funds / securities or statement, as the case may be.
6. I further declare that this authorization can be revoked anytime by me/us. The actual settlement of funds shall be done at least once in the preference period as selected below:

Once in a Calendar Quarter

Once in a Calendar Month



Date: _____

Sole / First Holder

**ANNEXURE - 2 (THIS DOCUMENT IS VOLUNTARY)
MANDATE FOR ELECTRONIC COMMUNICATION**

To,
Dynamic Equities Private Limited
Dear Sir,

I _____ hereby agree to accept

- | | | |
|---|--|---|
| <input type="checkbox"/> A/ C opening Intimation | <input type="checkbox"/> Contract Notes | <input type="checkbox"/> DP Holding / Transaction Statement |
| <input type="checkbox"/> Daily Margin Information | <input type="checkbox"/> Quarterly Statement of Accounts | <input type="checkbox"/> Other Documents |

by Email at the following Email Id(s) : _____

I / We agree to receive all the above documents only in electronic form (email / web based). Any change on the Email Id shall be immediately communicated by me/us. The communication shall be through a physical letter to DEPL. However for Internet Based Clients, such communication can be through secured access by way of login through User ID & Password.

- a. In case when the DEPL is not able to provide above mentioned documents to the Client in electronic form (Email/Web-based) due to any unforeseen problems, it shall ensure that the same reaches to the Client in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of Depository / Exchange.
- b. The Client will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of Internet/Email/Web-based Account. Client is aware that all the above documents may be accessed by other entities in case the confidentiality / secrecy of the login name / password is compromised.
- c. I / We agree that the Log Reports of your dispatching software shall be a conclusive proof of dispatch of Contract Notes, Daily Margin Information, DP Holding, Transaction statement, Quarterly Statement of Accounts and any other electronic communication to me/ us and such dispatch shall be deemed to mean receipt by me/ us. I / We also agree that non-receipt of bounce back mail notification by you shall amount to delivery at the above Email Id.
- d. DEPL and Client(s) shall have the right to terminate such service, provided a written notice is given at least 10 days in advance to the other party.

Note: The documents can also be accessed from our website: www.dynamiclevels.com

Date: _____



Sole / First Holder



Second Holder



Third Holder

ANNEXURE - 3 (THIS DOCUMENT IS VOLUNTARY)

AUTHORISATION OF ADJUSTMENTS IN DIFFERENT SEGMENT OF VARIOUS STOCK EXCHANGES AND OTHERS

To,
Dynamic Equities Private Limited

Dear Sir,

- a. I / We have applied for a Trading account and a Demat account with Dynamic Equities Private Limited.
- b. I / We may be trading in derivatives segment & cash segment of various stock Exchanges and hence might have various accounts with you. In this regard, I hereby authorize M/s Dynamic Equities Private Limited to act at its discretion of adjusting any credit balance under my various accounts against the debit in any account across segments/Exchange, without taking any further instruction from me.
- c. I / We also authorize you to merge/adjust balances held as margin kept under various accounts to nullify debit in any of my account. You may also sell my share margin deposit to nullify debit in any of my account.
- d. I / We understand and agree that no interest will be payable to me on the amounts or securities so retained with you, as per my running account. I also confirm that the securities lying in my withheld A/c should be considered as margin deposit/ collateral.
- e. I / We authorize you to set off a part or whole of the margin deposited by me against any of my dues, by appropriating relevant amount of fund or by sale of securities, which form part of margin.
- f. Since you are issuing contract notes bearing order & trade number on a daily basis, please do not issue any order/trade confirmation slips.
- g. For the convenience of payment of Depository bills, pertaining to my above-referred Demat account, with respect to the above-mentioned subject and consideration, I hereby authorize you to debit the trading account maintained with Dynamic Equities Private Limited for the debit charges payable to Dynamic Equities Private Limited as depository participant for providing depository services.



Sole / First Holder

Date: _____

Tariff Sheet

Segment	Cash Market				Futures				Options (per lot)	Currency Futures				Currency Options (per lot)
	Square off (Intraday)		Delivery		Non Square off		Square off (Intraday)			Non Square off		Square off (Intraday)		
Exchange	%	Min	%	Min	%	Min	%	Min		%	Min	%	Min	
NSE														
BSE														
MCX-SX														

Account opening Charges: _____

Notes:

1. The above brokerage is exclusive of ServiceTax, Education Cess and any other Statutory / Government/Exchange /Regulatory charges to be levied at actuals as applicable from time to time.
2. Square off, Non- Square off, Delivery, Options Brokerage rates are charged on each leg of the transaction.
3. Minimum Brokerage shall be calculated on per scrip per unit basis and the same shall be multiplied by the total quantity bought or sold.

Signature of client: _____

FOR OFFICE USE ONLY			
UCC Code allotted to the Client:			
	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date : _____

Seal/Stamp of DEPL

ACKNOWLEDGEMENT LETTER

To
DYNAMIC EQUITIES PVT. LTD

Technopolis, 14th Floor, Plot No. BP 4, Sector V
Salt Lake, Kolkata -700 091, Phone: 033-4009 9400

Sub. : Acknowledgement of receipt of copy of Account Opening Form & Other documents

Ref.: Trading Code _____

Demat A/c No. _____

Dear Sir,

This has reference to my/our aforesaid Trading & Demat account with you. In this connection, I hereby acknowledge the receipt of copy of the following:

- i. Copy of the Client Registration Form
- ii. Rights & Obligations document w.r.t Sub broker & Client (including additional rights & obligations in case of internet/ wireless technology based trading)
- iii. Risk Disclosure Document
- iv. Guidance Note
- v. Policies & Procedures
- vi. Brokerage Plan/ Tariff Sheet
- vii. Copy of Power of Attorney
- viii. All other documents (voluntary documents) executed by me/us in favour of the Company ('DEPL').

It also includes an index page listing all documents required for registration, indicating briefly significance of each document.

Signature:  _____

Date: ___/___/_____

Name:

----- **TEAR FROM HERE** -----

Acknowledgement

Dynamic Equities Pvt. Ltd. (DP ID - IN302017)

Technopolis, 14th Floor, Plot No. BP 4, Sector V, Salt Lake, Kolkata - 700091

Received the application from Mr./Ms. _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively for opening of a Depository Account. Please quote the DP Id & Client ID allotted to you in all your future correspondence.

Date _____

Participant Stamp & Signature

	<p>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India;</p> <p>OR 2. Documentary evidence (refer list below)</p>
Standing instructions to transfer funds to an account in country other than India	<p>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</p> <p>2. Documentary evidence (refer list below)</p>

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body*(e.g. Passport, National Identity card, etc.) * Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident

I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I/ We further agree to abide by the provisions of FATCA & CRS on Automatic Exchange of Information (AEOI)

Signature: _____
First Holder

Signature: _____
Second Holder

Signature: _____
Third Holder

FIT AND PROPER PERSON DECLARATION

As per Regulation 19(1) of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 (SECC Regulations) which states that no person shall, directly or indirectly, acquire or hold equity shares of a recognised Stock Exchange or recognised Clearing Corporation unless he is a fit and proper person in terms of Regulation 19 and 20 of the said SECC Regulations.

Regulations 19 and Regulations 20 as prescribed by SEBI (herein referred as "Board") are reproduced: **Eligibility for acquiring or holding shares.**

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognized clearing corporation unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognized stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.

(3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub regulation

(2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognized stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.

(4) Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.

(5) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(6) Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—

(a) such person has a general reputation and record of fairness and integrity, including but not limited to—

(i) financial integrity; (ii) good reputation and character; and (iii) honesty;

(b) such person has not incurred any of the following disqualifications

(i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;

(ii) an order for winding up has been passed against the person;

(iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;

(iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;

(v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;

vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and (vii) the person is financially not sound.

(2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

I / We hereby declare that I / We have read and understood the above and confirm that I am / We are a Fit and Proper person.

Client Name:

Client Signature:

Date:

FATCA & CRS ANNEXURE FOR INDIVIDUAL ACCOUNTS

(Please consult your professional tax advisor for further guidance on your tax residency, if required)

Do you have any country other than India, of Birth / Citizenship / Nationality / Tax Residency? Yes / No

Sole /First Holder / Guardian: Yes/ No		Second Holder: Yes/ No		Third Holder: Yes/ No	
Country of Birth					
Country of Citizenship / Nationality					
Country of Tax Residency * (other than India)	Taxpayer Identification No.	Country of Tax Residency (other than India)	Taxpayer Identification No.	Country of Tax Residency (other than India)	Taxpayer Identification No.
1					
2					
3					
4					

*Please indicate all countries in which you are a resident for tax purpose and Taxpayer Identification No.

*To also include USA, where the individual is a citizen / green card holder of USA

I / We hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I/ We further agree to abide by the provisions of FATCA & CRS on Automatic Exchange of Information (AEOI)

Signature: _____
First Holder

Signature: _____
Second Holder

Signature: _____
Third Holder

FATCA & CRS TERMS & CONDITIONS – FOR INDIVIDUALS

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us / group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. It is mandatory to supply TIN or functional equivalent if such country issues such identifier.

In case the client has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in that country, investor has to provide relevant Curing Documents as below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND 3. Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/ mailing address in a country other than India	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)
Telephone number in a country other than India	<p>If no Indian telephone number is provided</p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below) <p>If Indian telephone number is provided along with a foreign country telephone number</p>

DYNAMIC EQUITIES PRIVATE LIMITED

**Regd. Office: "Technopolis", 14th Floor, Plot BP 4, Sector V, Salt Lake, Kolkata 700 091, Telefax: +91 33 4009 9400/ 3001 0000
Mumbai Office: Unit No. 306, Western Edge - I, Western Express Highway, Borivali (East), Mumbai - 400 066**

